



City of Gastonia Municipal Operations Center – Prequalification for First-Tier Subcontractors **New Electrical Building and Interior Renovations to the Municipal Office Building**

Pursuant to the NC Statute GS143-128.1, 143-135-8 for Prequalification of Bidders for Construction Projects, this form gathers information about the Subcontractor seeking to qualify for the work and provides a general format for the prequalification criteria. Further information **may be** required in addition to this pre-qualification statement based on your response. These items may include additional financial statements, proof of applicable licenses, certification status, safety program/policy, drug and alcohol program/policy, additional project experience, and or certificates of insurance. Completing this statement does not guarantee prequalification.

Prequalification Due Date: November 11, 2021

Submit to: Jessica Pabalate
Edifice, LLC – Construction Manager at Risk
4111 South Blvd.
Charlotte, NC 28209
jpabalate@edificeinc.com

Project: Name: New Electrical Division Building and Covered Parking Structure
& Interior Renovations to the Municipal Operations Center
Owner: The City of Gastonia
Location: Gastonia, NC
Architect: ADW Architects
Civil Engineer: Alfred Benesch & Company
Structural Engineer: Stewart
MEP Engineer: Optima Engineering
Construction Duration (anticipated): 12-13 months
Advertisement to Bid (anticipated): December 2021
Bid Date (anticipated): January 2022
Performance & Payment Bond: Required for Bid Packages \$100,000 or higher.
Bid Bond: Required for all formal packages.

Project Description:

Gastonia Municipal Operations Center is a multi-faceted project in which Edifice will be renovating & upgrading around ~14,000 SF of the existing Municipal Office Center Building with new training rooms, restrooms, and office renovations. Across the street from the facility, we will be constructing a new ~19,999 SF Electrical Building consisting of office space as well as a service bay for vehicles. The final structure will be a ~7,500 SF Open Air Truck Bay.

Instructions to Prequalify:

- In filling out this pre-qualification statement please carefully read and follow all instructions. If you have any questions, please contact **Jessica Pabalate at 704-332-0900** for further instruction.
- Forms may be submitted electronically via email, mail, or hand delivered to Jessica Pabalate. Please make sure, if submitting handwritten form, that all information is clearly printed.
- Please note you are required to submit recent financial statements to complete the prequalification statement.
- You will also be required to commit to a minimum goal for Minority Participation as part of the requirements for this project.

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Bid Packages:

Please check a box if prequalifying. Note that if multiple bid packages are selected, please make sure that project experiences and references are provided to allow the Prequalification Committee to evaluate your firm on **EACH** bid package selected.

The following is a preliminary list of Formal Bid Packages and may change based on the response of qualified bidders:

	Package ID	Package Description
<input type="checkbox"/>	03-001	Concrete
<input type="checkbox"/>	04-001	Masonry
<input type="checkbox"/>	05-001	Steel
<input type="checkbox"/>	07-001	Roofing
<input type="checkbox"/>	09-001	Drywall
<input type="checkbox"/>	21-001	Fire Suppression
<input type="checkbox"/>	22-001	Plumbing
<input type="checkbox"/>	23-001	HVAC
<input type="checkbox"/>	26-001	Electrical
<input type="checkbox"/>	31-001	Sitework
<input type="checkbox"/>	Other	_____

Other potential work that will not be part of the formal bid process and will not require submitting a prequalification statement:

01-001 Final Cleaning	09-002 Acoustical Ceilings	10-003 Lockers
02-001 Demolition	09-003 Flooring	13-001 Metal Building (materials)
06-001 Woodwork	09-004 Tile	13-001 Metal Building (erection)
07-002 Waterproofing & Sealants	09-005 Painting	27-001 Low Voltage
08-001 Doors & Hardware	09-007 Resinous Flooring	32-001 Landscaping
08-002 Glass & Glazing	10-001 Specialties	32-002 Fencing
08-003 Overhead Doors	10-002 Signage	

PREQUALIFICATION STATEMENT

The undersigned certifies and agrees under oath that the following information provided herein is true, accurate, and sufficiently complete as to not be misleading.

Company Name

Physical Street Address

City, State, Zip Code

Phone Number

Company Website

Contact Name

Contact Email

Mobile Phone

1. General Company Information

- Number of years in business under current name: _____
- Has your company had any other legal names? Yes No
If yes, please provide the name(s): _____
- Is your company a subsidiary or affiliated with another company? Yes No
If yes, please provide those names and/or affiliations: _____
- Number of years that you have performed your specialty trade: _____
- Value of work currently under contract: _____
- Backlog value of work slated for the next 12 months: _____
- Average annual value of work completed the last five years: _____
- Does your company have federal, state, county, or local certification status? Yes No
DBE HUB MBE WBE SBE VB DVBE SDB CBI
Other: _____ Certifying Agency: _____

- Will your firm commit to set a goal for this project for minority participation (i.e., workforce, suppliers, second tier subs) as part of the requirements including a diverse percentage of Minority owned businesses? Yes No
- Does your firm have a minority participation business plan? Yes No
(Yes = 2 pts)
- Has your firm previously subcontracted work to a minority firm? Yes No
(Yes = 2 pts)
- Describe your firm’s approach to meet or exceed the HUB/Minority Business participation goal for this project. The City’s goal is 10%. **Attach response to this pre-qualification statement.**

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2. Corporate, LLC, Partnership and Ownership Information

- Is your company a corporation, LLC, or a partnership? Please indicate such: _____
Please provide the following:

- Date of incorporation or partnership formation: _____
- State of incorporation or state where partnership was written: _____
- Organizational structure (Please list the following, full legal names)

- Owners: _____
- Officers (CEO, CFO, President, Vice President(s), Secretary and Treasurer, etc.

- Partners _____
- Others authorized to represent, conduct business for, or sign legal documents on behalf of your company:

- Firms that experience changes in ownership, organizational structure, or material changes in assets must inform the Construction Manager (CM) prior to bidding or the award of a contract.
- Has any officer, partner, or owner of your organization ever been an officer, partner, or owner of another organization that failed to complete a construction contract?

Yes No
(No = 6 pts)

If yes, please describe the circumstances: _____

- Has any officer, partner, or owner of your organization ever been convicted of a crime or been involved in lawsuit related to the failed completion of a construction contract?

Yes No
(No = 6 pts)

If yes, please describe the circumstances: _____

- Has your company ever failed to complete a contract? Yes No
(No = 6 pts)

3. Project Experience

- Please provide a list of relevant projects of similar size and scope (current and completed) that your company has contracted for during the last seven (7) years. **Include five (5) projects for EACH bid package** you would like to prequalify for. Attach additional sheets as needed.
- Relevant projects include public & private projects, critical facilities, office buildings, and work for other municipalities, counties, and state, and CM at Risk projects of similar size, stature, and magnitude. Relevant projects shall also include projects of similar size and magnitude to the City of Gastonia Municipal Operations Center.
- List project name, scope of work you performed, your contract amount in dollars, and an email and phone number of the general contractor or construction manager contact reference. **(Up to five (5) projects will be scored and four (4) points will be allocated per relevant project for a total of twenty (20) points)**

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1	Job name:		
	Scope of work:		Contract value:
	GC/CM:	Phone #:	Email:
2	Job name:		
	Scope of work:		Contract value:
	GC/CM:	Phone #:	Email:
3	Job name:		
	Scope of work:		Contract value:
	GC/CM:	Phone #:	Email:
4	Job name:		
	Scope of work:		Contract value:
	GC/CM:	Phone #:	Email:
5	Job name:		
	Scope of work:		Contract value:
	GC/CM:	Phone #:	Email:

4. Personnel Assigned to the Project

Provide relevant information on the personnel that will be directly responsible for the work, including the location of the office that will be primarily responsible for the work:

- Please list your project managers and superintendents and their relevant experience

(Personnel with relevant experience = 7 Points)

5. Financial Information and References

- Name of Financial Institution (Bank): _____
- Address, City, State, Zip: _____
- Contact: _____

Is your company currently rated with Dun & Bradstreet? Yes No

(2 points for providing favorable D&B score)

Number: _____ If yes, what is your rating? _____

- Has your company filed for bankruptcy or structured re-organization? Yes No

(No = 4 pts)

- If the answer to any of the above questions is yes, please describe the circumstances on an attached separate sheet.

- Available line of credit: \$ _____ financial institution name: _____

- **Attach an audited current (within the last 12 months) financial statement with the completed pre-qualification information.** Attached statement included? Yes No

(Strong Financials Top Score = 14 points)

6. Litigation

- Does your company have any current or pending claims, litigation, or lawsuits because of circumstances on current or completed projects? Yes No

Current pending claims, litigation or lawsuits with Government entities may prevent prequalification

- Please provide all information regarding your litigation history, including litigation with Owners, Contractors, Suppliers, and Subcontractors.
- Are there any current, pending, or recent (last 5 years) judgments, claims, suits, or have you participated in any arbitration with regards to any projects in the last 5 years?

Yes No
(No = 4 pts)

If the answer to any of the above questions is yes, please describe the circumstances below:

7. Timeliness

- Has your company failed to complete a project on time and incurred Liquidated Damages? Provide information on the success and experience your company has with completing projects on-time.

Yes No
(No = 4 pts)

- Include any record or history associated with the payment of Liquidated Damages.

8. Licensing and Classification

- Has your company ever had its license revoked or are there any pending/current judgments against your company regarding your contractors' license? Yes No

(No = 4 pts)

If the answer is yes, please describe the circumstances on an attached separate sheet.

Current judgements will prevent the contractor from being prequalified

- Please name the licenses and license numbers that your company holds for the work you regularly perform and would intend to perform on these projects:

Type of License: _____ License Number and State: _____

Type of License: _____ License Number and State: _____

- **Legal Authorization**

Please provide a copy of your North Carolina Contractor License or provide a statement that guarantees you will be able to acquire one prior to submitting a bid on this project. If a statement is required, the applicant shall identify the states in which they are licensed for this type of work.

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9. Bonding and Capacity

- Surety Company: _____
- Name of Agent: _____
- Agent Contact Person: _____
- Telephone Number for Agent: _____
- Bond Rate: _____ Bond Capacity for a Single Project: \$ _____
- Total Bond program capacity: \$ _____

Will you be able to provide a payment and performance bonds for this project? Yes No

If yes, please provide a letter from your bonding company. (Yes= 6 pts)

- Has any bonding company ever had to complete your contract work, because you were unable to complete it?
If yes, please explain the specific circumstances on an attached separate sheet. Yes No
(No = 3 pts)

10. Insurance

Your company will be required to provide the insurance requirements that the owner will mandate for Edifice LLC. At this time, we ask the question if your company can at least provide the following insurance policies and limits for the life of the project.

- Workers Compensation:
 - State Statutory Requirements
 - Employers Liability
 - \$500,000 – Each Accident
 - \$500,000 – Disease Policy Limits (Aggregate)
 - \$500,000 – Disease Each Employee
 - Waiver of Subrogation
- Comprehensive General Liability Insurance
 - Bodily Injury Liability including contractual liability coverage assumed under the indemnity agreement of the contract, products/completed operations, and underground property damage XCU where applicable.
 - \$1,000,000 each occurrence; \$2,000,000 annual aggregate
 - Property damage Liability including contractual liability coverage assumed under the indemnity agreement of the contract, products/completed operations and undergoing property damage XCU where applicable.
 - \$1,000,000 each occurrence; \$2,000,000 annual aggregate
 - Edifice LLC and Owner named as additional insured
- Comprehensive Automobile Liability Insurance shall be maintained by the Contractor as to the Ownership, maintenance and use of all owned, non-owned, leased or hire vehicles with limits of not less than:
 - Automobile Liability – All owned, non-owned and hired vehicles.
 - \$1,000,000 each person; \$1,000,000 each accident
 - Automobile Property Damage Liability – all owned, non-owned and hired vehicles.
 - \$1,000,000 each person; \$1,000,000 each accident
 - Edifice LLC and Owner named as additional insured
- Umbrella liability limits shall not be less than:
 - \$1,000,000 each occurrence; Edifice LLC and Owner named as additional insured
- Please indicate if you can provide the coverage outlined above: Yes No
(Yes = 2 pts)

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11. Safety

- Please attach your safety policy or program with this completed questionnaire.
- Has your company incurred any OSHA fines within the last five (5) years?

Yes No
(No = 2 pts)
- Has your company had any jobsite fatalities or lost time accidents within the last five (5) years?

Yes No
(No = 2 pts)
- If the answer to either question above is yes, please describe the circumstances on an attached separate sheet.
- Firms must provide copies of any complaints, safety violations, or reports from the North Carolina Qualifications Board, OSHA, or any other regulating agency associated with any construction project.
- Please list your company’s current Experience Modification Rating (EMR) and for the past three (3) years:
 Year _____ Rate _____ Year _____ Rate _____ Year _____ Rate _____
- Please attach your company’s substance abuse policy or program. Please identify your procedures for testing, pre-employment, random, and after accidents.

12. Company References

- List four (4) general contractor/construction manager references. Provide as follows:

	Company Name	Contact Name	Phone	Email
1				
2				
3				
4				

(1 point per reference = Total of 4 points)

13. Signature

We duly swear that all information provided within is truthful, accurate, and shall have no consequence on further legal standings with Edifice LLC. We also understand that by simply filling out and completing this statement and providing the requested information, we will not be deemed a pre-qualified trade contractor.

 Signature of Company Officer, Partner, or Owner: Date _____

 Type written name and title of Company Officer, Partner, or Owner:

 Firm Name

(Passing score is 80 points or better)